This worksheet is not an application but outlines for brokers the basic information required in our AXIS Producer Portal to quote, bind, issue, or refer business for the respective coverage line. Additional information may also be required for certain risks or exposures. Please download the latest version from the AXIS Broker Resource Center. If you have any questions, please email AXISDigital@axiscapital.com.

Risk Assessment – General E&O

Contract Management

Does the applicant have written agreements with all customers for all services and products provided?	☐ Yes ☐ No
Identify the following provisions included in contracts you enter into that are also written to your benefit or mutual benefit with your customer (**check all that apply**):	
Limitation of liability:	☐ Yes ☐ No
Exclusion of indirect or consequential damages:	☐ Yes ☐ No
Hold harmless/indemnification agreements:	☐ Yes ☐ No
Disclaimer of warranties	☐ Yes ☐ No

Quality Control

Do you have the following risk mitigation procedures in place (**select all that apply**):	
Customers acceptance or final sign off	☐ Yes ☐ No
Dispute resolution or escalation procedures	☐ Yes ☐ No
Scope of work, milestones, deliverables, and change orders	☐ Yes ☐ No

Risk Assessment – Tech E&O

Technology Classes

Please enter the revenue percentage from the services that are applicable.	
Computer Integrated Systems Design: Planning and designing computer systems that integrate computer hardware, software, and communication technologies. The hardware and software components of the system may be provided by this establishment or company as part of integrated services or may be provided by third parties or vendors. These establishments often install the system and train and support users of the system.	%
Computer Repair & Maintenance: Computer peripheral equipment repair and maintenance, without retailing new computer peripheral equipment.	%
Computer Related Services, NEC: Primarily engaged in providing computer related services (except custom programming, systems integration design, and facilities management services). Establishments providing computer disaster recovery services or software installation services are included in this industry.	%
Computer Training: Primarily engaged in conducting computer training (except computer repair), such as computer programming, software packages, computerized business systems, computer electronics technology, computer operations, and local area network management. Training conducted in higher education or for diplomas, certifications, licensing are excluded from this class	%
Custom Computer Programming: Establishments primarily engaged in writing, modifying, testing, and supporting software to meet the needs of a particular customer.	%
Prepackaged Software Developer: Designing, developing and publishing pre-packaged software; including providing documentation, assisting in installation, and providing support services to software purchasers. May publish and distribute software remotely through subscriptions and downloads.	%
Other Tech Services: Anything other than the ones listed above.	%

Software Copyright Infringement

Does the applicant have written policies or procedures in place to avoid copyright infringement of software/computer code?	☐ Yes ☐ No
If yes, are those who provide the applicant with software code, including developers and independent contractors required to:	
Assign or license their rights to use the software code?	☐ Yes ☐ No